SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | |
|--------------------------|-----------|--|--|--|--|
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| hours per response: | 0.5 | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Dineen David P | | Person* | 2. Issuer Name and Ticker or Trading Symbol Orange County Bancorp, Inc. /DE/ [OBT] | | ionship of Reporting P all applicable) Director | 10% Owner |
|--|-------------------|----------|--|-------------------------|--|--|
| (Last) 212 DOLSON | (First) AVENUE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/11/2024 | X | Officer (give title below) SVP, Dir. Wealth | Other (specify below) n Services |
| (Street) MIDDLETON | NY | 10940 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indivi Line) X | dual or Joint/Group Fil Form filed by One Re Form filed by More th Person | |
| (City) | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication | | ct, instruction or written p | lan that is intended to |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. Transa Code (8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--|--|------------------------------|---|--------|---------------|---|---|---|--------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 03/11/2024 | | F | | 138 | D | \$45.2 | 1,897 ⁽¹⁾⁽²⁾ | D | |
| Common Stock | | | | | | | | 221(3) | Ι | By 401(k) |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 2 10. Derivative Conversion Date (Month/Day/Year) Execution Date, Transaction Expiration Date (Month/Day/Year) Ownership of Indirect Amount of Derivative derivative Derivative or Exercise Price of Derivative if any (Month/Day/Year) Securities Securities Security (Instr. 3) Code (Instr. Security (Instr. 5) Form: Direct (D) Beneficial 8) Securities Underlying Derivative Beneficially Ownership or Indirect (I) (Instr. 4) Acquired Owned (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. Security Following Reported Transaction(s) 3 and 4) (Instr. 4) and 5) Amount Number Date Expiration Date v (A) (D) Exercisable Title Shares Code

Explanation of Responses:

1. Includes restricted stock units which vest at a rate of 1/3 per year commencing on May 28, 2023.

2. Includes restricted stock units which vest at a rate of 1/3 per year commencing on March 11, 2024.

3. Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

/s/ Jennifer Staub, pursuant to 03/13/2024

power of attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).