SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287								
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Instruction 1(b).	Filed p		nt to Section 16(a) o ction 30(h) of the In					934	<u> </u>	<u> </u>				
1. Name and Address of Reporting Person [*] Coulter Michael J		uer Name and Tickenning <u>and Tickenning County B</u>					k all applicable) Director Officer (give title	ng Person(s) to Issuer 10% Owner Other (specify						
(Last)(First)(Middle)212 DOLSON AVENUE	3. Date of Earliest Transaction (Month/Day/Year) 08/05/2021							below) be EVP and CLO)				
(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
MIDDLETOWN NY 10940							X	Form filed by One Reporting Person						
(City) (State) (Zip)									Form filed by Mo Person	re than One Re	porting			
Table I - No	on-Derivat	tive S	ecurities Acq	uired,	Disp	posed of, o	or Ber	eficially	/ Owned					
1. Title of Security (Instr. 3) 2. Tran Date (Month		tion 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V		Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)					
Common Stock	08/05/2	2021		Р		500	Α	\$33.5	4,292 ⁽¹⁾⁽²⁾⁽³⁾	D				

Common	Stock
Common	SLOCK

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes shares of restricted stock which vest at a rate of 1/3 per year commencing on February 15, 2020.

2. Includes shares of restricted stock which vest at a rate of 1/3 per year commencing on February 21, 2021.

3. Includes shares of restricted stock which vest at a rate of 1/3 per year commencing on February 19, 2022.

<u>/s/ Benjamin M. Azoff,</u> pursuant to power of attorney

<u>08/09/2021</u>

2,500

I

By IRA

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See