SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Dineen David P		Person [*]	2. Issuer Name and Ticker or Trading Symbol Orange County Bancorp, Inc. /DE/ [OBT]		tionship of Reporting P all applicable) Director	10% Owner				
(Last) 212 DOLSON	(First) AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/21/2024	X	Officer (give title below) SVP, Dir. Wealth	Other (specify below) h Services				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Fil	ing (Check Applicable				
(Street) MIDDLETON	NY	10940	_	Line) X	Form filed by One Re Form filed by More th Person					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	1						
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/21/2024		A		1,717 ⁽⁴⁾	A	\$ <mark>0</mark>	3,614 ⁽¹⁾⁽²⁾	D	
Common Stock								334(3)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D (Instr and 5	rative rities ired r osed) . 3, 4	Expiration Date (Month/Day/Year) ed 4 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	Security Securitie (Instr. 5) Beneficit Owned Followin; Reportec Transact (Instr. 4)	derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)			Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes restricted stock units which vest at a rate of 1/3 per year commencing on May 28, 2023.

2. Includes restricted stock units which vest at a rate of 1/3 per year commencing on March 11, 2024.

3. Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

4. Restricted stock units which vest at a rate of 1/3 per year commencing on March 21, 2025

/s/ Jennifer Staub, pursuant to 03/25/2024

power of attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).